

Sandwich Preamble for Burst Synchronization

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Abstract—A “sandwich” repetition preamble (sandamble) with reduced training overhead is introduced and compared to a conventional repetition preamble with respect to frame and frequency synchronization performance in burst transmission. An optimum metric is derived for sandamble detection. The fundamental idea is independent from the specific modulation scheme, but here results for an Orthogonal Frequency–Division Multiplexing (OFDM) system are studied.

Keywords—Synchronization, Repetition Preamble, Optimum Metric

I. INTRODUCTION

PACKET-ORIENTED transmission like discontinuous traffic in wireless Asynchronous Transfer Mode (ATM) requires reliable single-shot synchronization. For this purpose, preamble schemes with periodic signal repetitions [1], [2], [3] are often used. Repeated signal structures allow coarse frame synchronization by finding the extremum of an appropriate time–domain metric. A frequency offset estimate can be obtained from the argument of a correlation result. For sake of efficiency, the training data overhead for frame and frequency synchronization is to be kept low.

In this paper, two different types of repetition structures are compared with respect to their synchronization performance. The *preamble* works with contiguous signal segments and the second structure exploits repeated signal segments being separated by user data and is called sandwich preamble or *sandamble*. The optimum metric for synchronization parameter estimation from the sandamble structure is derived.

II. TRANSMISSION MODEL

The discrete–time complex baseband sample of some modulation scheme (e.g., pulse–amplitude modulation or OFDM) is denoted by $s[k]$, where k represents the discrete time. Periodicity in the training structures is symbolized by the triangles in Fig. 1. In the preamble structure shown in Fig. 1a,

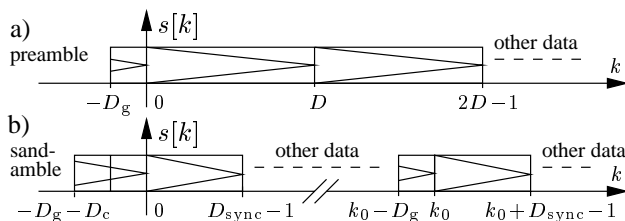


Fig. 1. Transmit signal structure for a) conventional preamble versus b) proposed sandamble (sandwich preamble) with $D_c > 0$.

the training symbols precede the data burst and consist of two identical sample sequences of length D — the first of which starting at discrete–time position $k = 0$. A guard interval (cyclic prefix) of length D_g is added. The sandamble structure shown in Fig. 1b embeds a data symbol sequence,

which is not used for training purposes. The signal parts for synchronization consist of two identical symbol sequences of length D_{sync} . Their delay in time direction is k_0 samples and it is clear that now each of the segments requires its own guard interval of D_g samples. The first guard interval is extended by another D_c samples. Hence, the overall sandamble length is $2(D_{\text{sync}} + D_g) + D_c$. The burst is usually stored in the receiver memory and demodulated off–line, so that the sandamble structure with embedded data imposes no severe restriction on the system concept. The main reason for the separation is the larger distance of the repeated signal parts, which in turn allows a reduced–variance frequency offset estimation. Further, $D_c > 0$ helps to enlarge the frequency lock–in range and to improve frame synchronization if the ratio of k_0 and D_{sync} is appropriately designed. Note that the preamble becomes a special case of the sandamble for $D_c = 0$ and $k_0 = D_{\text{sync}}$.

We consider transmission over a dispersive channel. Hence, the sample sequence $s[k]$ is convolved with the channel impulse response which yields the noiseless receive signal $\tilde{r}_0[k]$. The carrier frequency mismatch is modelled in baseband by modulation of $\tilde{r}_0[k]$ with the absolute carrier frequency offset Δf_{co} . This yields the noiseless received sample $\tilde{r}[k] = \tilde{r}_0[k] e^{+j2\pi\Delta f_{\text{co}}kT} = \tilde{r}_0[k] e^{+j\psi_f k}$, where we introduced the normalized phase velocity (NPV) $\psi_f = 2\pi\Delta f_{\text{co}}T$. At the receiver input, samples $n[k]$ of additive white Gaussian noise are added to obtain the received sample $r[k] = \tilde{r}[k] + n[k]$. The average signal power is $\sigma_s^2 = \mathcal{E}\{|s[k]|^2\} = E_s/T$, where E_s is the average received energy per channel symbol. The noise power is $\sigma_n^2 = \mathcal{E}\{|n[k]|^2\} = N_0/T$, where N_0 is the one–sided power spectral density of the white noise. The channel signal–to–noise power ratio (SNR) at the receiver input is $E_s/N_0 = \sigma_s^2/\sigma_n^2$.

III. STANDARD SYNCHRONIZATION METRIC

First, we consider the preamble and the sandamble with $D_c = 0$, only, i.e. without guard extension.

The maximum normalized correlation (MNC) metric [4], [5] for frame synchronization reads

$$\hat{k} = \underset{\tilde{k}}{\operatorname{argmax}} \left(\left| S[\tilde{k}] \right|^2 / \left(P[\tilde{k}] + P[\tilde{k} + k_0] \right)^2 \right), \quad (1)$$

where $S[k] = \sum_{\kappa=0}^{D_{\text{sync}}-1} r^*[k + \kappa] r[k + k_0 + \kappa]$ is the complex correlation. $P[k] = \sum_{\kappa=0}^{D_{\text{sync}}-1} |r[k + \kappa]|^2$ is the power sum inside a window of D_{sync} received samples. Hence, the correlation is normalized to the overall power sum in the observation window.

Thereafter, the maximum–likelihood (ML) estimate [6] for the NPV can be obtained by evaluating $S[k]$ at the estimated

frame start, i.e., $k = \hat{k}$, and this yields

$$\hat{\psi}_f = \arg \left(S[\hat{k}] \right) / k_0. \quad (2)$$

The quality of the NPV estimate $\hat{\psi}_f$ is evaluated by its variance in the presence of a demodulation window misplacement $D_m \neq 0$, i.e., $S[\hat{k}]$ including D_m correlation products which are equivalent to interference. The normalized estimation variance can be calculated as

$$\sigma_{\psi_f}^2 \approx \frac{1}{k_0^2 D_{\text{sync}} (1 - D_m/D_{\text{sync}})^2} \cdot \left(\frac{1}{E_s/N_0} + \frac{1}{2(E_s/N_0)^2} + \frac{D_m/D_{\text{sync}}}{2} \right). \quad (3)$$

Fig. 2 shows the standard deviation to compare the preamble vs. the sandamble structure. The special scaling expresses the normalization of the estimate to the subcarrier spacing of an OFDM system with $D = 64$. The preamble consists of 136 samples, while the sandamble — with $D_c = 0$ — occupies 80 modulation intervals, only, but the sandamble performs better than the preamble. More interesting is the behaviour in the presence of a demodulation window misalignment. Here, the sandamble degrades in larger steps, as the ratio of interference samples to useful signal samples is always larger for the sandamble if $D_{\text{sync}} < D$. But the generally better performance of the sandamble holds up to $D_m \leq 6$ to provide $D\sigma_{\psi_f}/(2\pi) \leq 10^{-2}$ at SNRs beyond 8 dB. Thus, we expect that the sandamble with the proposed OFDM parameters will exhibit a comparable or even better NPV estimation accuracy and robustness against (residual) time offsets, when compared to the preamble.

Surprisingly, the *frame* synchronization performance is nearly unaffected when reducing the correlation window size from 64 to 32, so that in this respect the larger preamble offers no advantage over the sandamble.

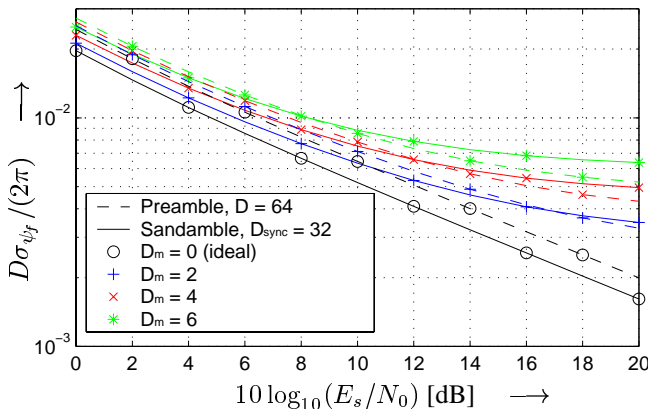


Fig. 2. Normalized frequency estimation standard deviation according to (3) for the preamble ($D = 64$, ATM-mobil) and the sandamble ($D_{\text{sync}} = 32$, $k_0 = 112$) structure in the presence of a misplacement D_m . The fixed OFDM parameters are $D = 64$, $D_g = 8$.

IV. OPTIMUM SYNCHRONIZATION USING SANDAMBLE

We consider the sandamble with *extended* guard interval in Fig. 1b and derive an optimum metric for joint frame and

frequency synchronization [5]. Guard extension leads to further periodicity intervals and D_c modulation intervals are additionally exploitable for synchronization, which is advantageous for the accuracy of frame positioning as well as the lock-in range and accuracy of the frequency estimate.

To tackle the problem of optimum frame and frequency synchronization, we collect a sequence of $D_c + k_0 + D_{\text{sync}}$ contiguous noisy received samples in the vector $\mathbf{r}_k = [r[k-D_c], \dots, r[k+k_0+D_{\text{sync}}-1]]^T$. This sample vector comprises the entire range of exploitable knowledge on sample periodicity in the sandamble. The (non-observable) noiseless received samples $\tilde{r}[k]$ are collected in $\tilde{\mathbf{r}}_k$. Finally, with definition of the channel noise vector $\mathbf{n}_k = [n[k-D_c], \dots, n[k+k_0+D_{\text{sync}}-1]]^T$ of dimension $D_c + k_0 + D_{\text{sync}}$, the relation $\mathbf{r}_k = \tilde{\mathbf{r}}_k + \mathbf{n}_k$ is valid.

Obviously, there are at least two different periodicity intervals which can be exploited. Firstly, the main part of length D_{sync} exhibits a periodicity spacing of k_0 modulation intervals. For this main repetition part, the $D_{\text{sync}} \times (D_c + k_0 + D_{\text{sync}})$ masking matrices¹

$$\mathbf{M}_m = [\mathbf{0}_{D_{\text{sync}} \times D_c} \quad \mathbf{I}_{D_{\text{sync}}} \quad \mathbf{0}_{D_{\text{sync}} \times k_0}] \quad (4)$$

$$\mathbf{B}_m = [\mathbf{0}_{D_{\text{sync}} \times (D_c + k_0)} \quad \mathbf{I}_{D_{\text{sync}}}] \quad (5)$$

are introduced. Secondly, the extended guard interval allows the exploitation of a periodicity spacing of D_{sync} modulation intervals in a region of length D_c . To account for the periodicity due to this guard interval extension, the masking matrices

$$\mathbf{M}_x = [\mathbf{0}_{D_c \times D_{\text{sync}}} \quad \mathbf{I}_{D_c} \quad \mathbf{0}_{D_c \times k_0}] \quad (6)$$

$$\mathbf{B}_x = [\mathbf{I}_{D_c} \quad \mathbf{0}_{D_c \times (k_0 + D_{\text{sync}})}] \quad (7)$$

both of dimension $D_c \times (D_c + k_0 + D_{\text{sync}})$, are defined. Note that \mathbf{M}_x and \mathbf{B}_x disappear for $D_c = 0$, as they collapse to dimension zero. Furthermore, the matrix

$$\mathbf{E}_{\psi_f} \triangleq \begin{bmatrix} e^{+jk_0\psi_f} \mathbf{M}_m - \mathbf{B}_m \\ e^{-jD_{\text{sync}}\psi_f} \mathbf{M}_x - \mathbf{B}_x \end{bmatrix} \quad (8)$$

is introduced, which incorporates the phase relation of the repeated structures for some NPV ψ_f . Due to the known structure and known periodicity intervals, it is exploitable that the noiseless vector $\tilde{\mathbf{r}}_k$ at the correct timing instant $k = 0$ fulfills

$$\mathbf{E}_{\psi_f} \tilde{\mathbf{r}}_0 = \mathbf{0}, \quad (9)$$

where $\mathbf{0}$ is the all-zero vector. To derive a criterion for synchronization based on the above identity, the error vector

$$\mathbf{e}_{\tilde{k}, \tilde{\psi}_f} \triangleq \mathbf{E}_{\tilde{\psi}_f} \tilde{\mathbf{r}}_{\tilde{k}} \quad (10)$$

is introduced. This vector depends on the frame start hypothesis \tilde{k} and the NPV hypothesis $\tilde{\psi}_f$. It can be shown that

$$\mathbf{e}_{\tilde{k}, \tilde{\psi}_f} = \begin{bmatrix} e^{+jk_0\psi_f} \mathbf{M}_m - \mathbf{B}_m \\ e^{-jD_{\text{sync}}\psi_f} \mathbf{M}_x - \mathbf{B}_x \end{bmatrix} ((\tilde{\mathbf{r}}_{\tilde{k}} - \tilde{\mathbf{r}}_0) + \mathbf{n}_{\tilde{k}}) + \begin{bmatrix} (e^{+jk_0\tilde{\psi}_f} - e^{+jk_0\psi_f}) \mathbf{M}_m \\ (e^{-jD_{\text{sync}}\tilde{\psi}_f} - e^{-jD_{\text{sync}}\psi_f}) \mathbf{M}_x \end{bmatrix} \mathbf{r}_{\tilde{k}}, \quad (11)$$

¹ $\mathbf{0}$ and \mathbf{I} are zero and identity matrices of given size, respectively.

